



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Emanuel Shaffer, et al.

Appln. No.: 09/378,196

Filing Date: August 19, 1999

Title: **SYSTEM AND METHOD FOR  
DYNAMIC CODEC ALTERATION**

Examiner: Brian D. Nguyen

Art Unit: 2661

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TRANSMISSION**

The undersigned hereby certifies that this correspondence is

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Sir:

This Information Disclosure Statement is submitted:

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(Within three months of either ☐ the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d) or ☐ the date of national stage entry of international application; or ☐ before mailing date of first office action on the merits; or ☒ before mailing date of a first office action after filing of a request for continued examination under 37 CFR 1.114).
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Certification under 37 CFR 1.97 (e), OR  
☐ the \$ 180.00 fee under 37 CFR 1.17 (p) authorized to be charged to Deposit Account 19-2179. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25.  
(After the 37 CFR 1.97 (b) time period, but before mailing date of any of ☐ a final action under 37 CFR 1.113, or ☐ a notice of allowance under 37 CFR 1.311, or ☐ an action that otherwise closes prosecution).
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Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56,  
☒ together with hard copies of all references as this application was filed before June 30, 2003; or  
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☐ without hard copies of publications or other information which have been submitted previously in the parent application (application no. \_\_\_\_\_) to which the above-identified application claims priority under 35 USC 120 and which should be made of record in the above-identified application.

**37 CFR 1.97(e) Certifications:**

☐ The undersigned hereby certifies under **37 CFR 1.97(e)(1)** that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; **OR**

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☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content that is given on ☐ the attached separate sheet or ☐ incorporated in the applicant's specification or ☐ by the enclosed English-language translation or portion thereof within the possession, custody, or control of, or is readily available to any individual designated in 37 CFR 1.56 (c).

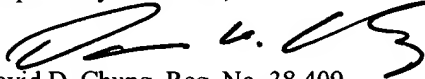
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The filing of this information disclosure statement shall not be construed as a representation that a search has been made or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner or as an admission that the information cited is or is considered to be material to patentability.

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Siemens Corporation  
Customer No. 28524  
Attn: Elsa Keller, Legal Administrator  
170 Wood Avenue South  
Iselin, NJ 08830

Respectfully submitted,

  
David D. Chung, Reg. No. 38,409  
Attorney(s) for Applicant(s)  
Telephone: 650-694-5339  
Date: 30 AUG 05

Substitute for Form 9449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet

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of

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**Complete if Known**

Application Number

09/378.196

Filing Date

August 19, 1999

**First Named Inventor**

# Shmuel Shaffer

Group Art Unit

2661

Examiner Name

**Brian D. Nguyen**

Attorney Docket Number

1999P07442US05

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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